

The Neural Mechanisms Underlying Personality Disorders

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ABSTRACT

This article reviews recent research on the neural mechanisms of personality disorders. Functional imaging studies have confirmed a neurobiological basis for personality traits. These types of studies also showed strong evidence for neurobiological foundations for personality pathology. Different types of personality disorders are discussed: borderline, antisocial, schizotypal, and avoidant disorders in terms of their neural correlates. A neuropsychological basis is shown as forming a stable foundation for impaired behavior, affectivity, and relevant cognitive function in subjects with personality disorders. This results in difficulties in the treatment of personality disorders. The presented neuropsychological findings suggest that personality disorders are best understood and treated when neurobiological and psychological findings are considered, just as Gabbard (2005) stated, without dichotomies between the 'mind and brain.'

Key Words: personality disorders, neural correlates, borderline personality disorder, antisocial personality, schizotypal personality disorder

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Introduction

Personality disorders are one of the most prevalent human disorders (Fowler *et al.*, 2007). They are defined as a stable disposition to behave in a maladapted way. Their main characteristics are sustained maladaptive patterns of behavior and maladapted function in at least two from four areas: cognitive, affective, interpersonal, and control of impulse (ICD-10, 1992). Studies on prevalence of personality disorders report that they are widespread in both clinical and non-clinical populations. For example, it was shown

that in psychiatric populations, personality disorders are linked to a wide range of prevalence and are frequently associated with drug, alcohol, and eating disorders (Chiesa *et al.*, 2002; Zimmerman *et al.*, 2005). Borderline personality is most frequently seen in psychiatric settings, and Cluster C personality disorders are commonly concurrent with other mental disorders, such as substance abuse, anxiety, and affective disorders (Kessler *et al.*, 1993; Mulder, 2002). Zimmerman, Rothschild and Chelminski (2005) established that about 30 % of psychiatric outpatients were diagnosed with at least one personality disorder. In their replication of the National Comorbidity survey within a clinical population, Lenzenweger and associates (2007) found schizoid, schizotypal, and avoidant personality disorders to be the most prevalent. Differences in findings on the prevalence of personality disorders depend on the techniques used in assessment, the type of population examined (i.e., large vs. small, clinical vs. non-

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clinical), social and/or cross-cultural aspects (i.e., differences in personality disorder rates between countries), and/or even ways of classifying personality disorders. Data on the prevalence of personality disorders in a community report a prevalence of personality disorders ranging from 10 - 15 %; about 14.79% of adult Americans had at least one personality disorder (Fowler *et al.*, 2007). Prevalence is estimated in Great Britain to be 4.4 %, in Colombia is 7.9%, in Mexico 6.1 %, and South Africa 6.5% (Moran *et al.*, 2001; Grant *et al.*, 2004; Coid *et al.*, 2006). A study from 1993 reports that the most prevalent personality disorders were dependent disorder estimated to be between 2-4%, while schizotypal, antisocial, and histrionic were around 2-3 % (Kessler *et al.*, 1993). In a student population the most prevalent were histrionic and narcissistic disorders (Lenzenweger *et al.*, 1997). The most prevalent personality disorders in a sampled Norwegian community were paranoid, histrionic, and obsessive-compulsive (Torgersen *et al.*, 2001). In the USA, the most prevalent appears to be obsessive-compulsive personality disorder, according to Grant *et al.*, 2004.

A review of recent literature on personality disorders suggests that their classification has been highly criticized. Personality disorders are inter-correlated and data do not support that they neatly fit into the three separated clusters as DSM-IV or DSM-V describes (Tyrer *et al.*, 2010). Rather, research suggests that personality disorders partly overlap and the three main personality disorders clusters overlap as well. This results in difficulty in differential diagnosis, impacting not only assessment, but also treatment of the given disorder. For example, Tyrer *et al.* (2010) stated that existing classifications of personality disorders are unhelpful. This is an important remark because as there are no distinct patterns attributed to different types of personality disorders, thus it is difficult to establish the distinct neural correlates for every disorder. Subsequently, as of this date, many personality disorders have not yet been described in terms of neural correlates. With respect to this, we present the main current findings on neural correlates of four types of personality disorders: namely, borderline, antisocial, avoidant, and schizotypal.

Borderline Personality Disorder and its Neural Mechanisms

The main characteristics of every personality disorder are emotional impairments which may manifest in different ways, but, nonetheless, are entrenched in neural organization. For example, emotional instability is a core pattern observed in borderline personality disorder. Other features of this disorder are suicidality, outbursts of intense anger, stormy relationships, and identity disturbances (Koenigsberg *et al.*, 2009). All these patterns are related to heightened attention or sensitivity to social-emotional cues in interpersonal scenarios, a tendency toward self-referential emotional processing, and dysregulated emotional processing mechanisms (*ibid.*). Borderline patients have great difficulty shifting from the psychic equivalence mode to the pretend mode, and they often hold on to their perception as an absolute fact (Gabbard, 2005). However, mental processing studies indicate that borderline personality disorder patients are accurate in attributing mental states to complex social stimuli (Mitchell *et al.*, 2014). All these patterns are regulated by structural and functional mechanisms of the brain. The main effects highlighted in the literature are the failure of hemispheric integration, hyper-reactivity of the hypothalamic-pituitary-adrenal axis (Rinne *et al.*, 2002), and hyper-reactivity of the autonomic nervous system in borderline patients (Heim *et al.*, 2000). Furthermore, structural dissimilarities of the brain in people with borderline personality disorder are highlighted such as smaller amygdala, hippocampus, anterior cingulate, and orbitofrontal cortex volumes (Driessen *et al.*, 2000; Schmahl *et al.*, 2003; Hazlett *et al.*, 2005).

Investigations with fMRI on emotional tasks documented greater bilateral activation of the amygdala, the medial, and inferolateral prefrontal cortex when viewing negative pictures in subjects with borderline personality (Herpertz *et al.*, 2001). Processing of negative emotions in borderline personality disorder patients was shown as impaired, along with greater activation within the insula and posterior cingulate cortex, and lowered activation in a network of regions that extended from the amygdala to the subgenual anterior cingulate and dorsolateral prefrontal cortex (Ruocco *et al.*, 2013). It was concluded that processing of negative emotions in borderline patients might be regulated by an abnormal reciprocal relationship between limbic structures and anterior brain regions (*ibid.*).

Greater activation in the fusiform gyrus when viewing negative pictures (faces) was also observed, which is explained as heightened visual sensitivity, or hyperawareness of facial negative expression (Koenigsberg *et al.*, 2009). Similarly, processing of facial expressions appears to be altered in borderline personality disorder, and higher activation of the amygdala was recorded in people with borderline personality disorder when viewing facial expressions portraying various emotions (Donegan *et al.*, 2003). Furthermore, affective facial expression processing elicits hyperactivation in the amygdala, altered activation in the anterior cingulate, inferior frontal gyrus, and the superior temporal sulcus in borderline personality subjects (Mitchell *et al.*, 2014). It results in turn in heightened sensitivity to emotional cues in interpersonal scenarios, self-referential emotional processing, and dysregulated emotional processing; whereas hyperreactive physiological states in borderline patients is linked to the greater response of hypothalamic-pituitary-adrenal axis (Heim and Nemeroff, 2002).

Prolonged amygdala response and a functional disconnection between ventral and dorsal mPFC (medial prefrontal cortex) were found and thought to be a basis for the neural mechanisms of emotional dysregulation seen in borderline personality disorder patients (Kamphausen *et al.*, 2013). Among the frontal cortical areas in borderline personality disorder, the anterior cingulate shows impaired *in vivo* serotonin synthesis capacity, serotonergic modulation of metabolic activity, and greater deactivation in response to interpersonal cues (Minzenberg *et al.*, 2008). This is, in turn, related to the impaired control of emotions, which is characteristic of the disorder. Borderline patients also show greater activation in the superior temporal gyrus, precuneus, posterior cingulate area, and smaller activation in the dorsolateral prefrontal region, insula, and caudate nucleus. These brain regions' activation suggests that borderline personality disorder patients rely more on automatically responding networks, and that they are over-involved in emotional situations (Koenigsberg *et al.*, 2009). The origin of these behavioral patterns may be related to early affective experiences of people with this disorder and research has suggested that symptoms of borderline personality disorder are linked to early trauma and abuse, and that early

trauma can affect the developing brain (Gabbard, 2005).

Antisocial Personality Disorder and its Brain Correlates

Antisocial personality disorder is well examined in terms of neurobiological bases, however, there are still some inconsistent findings. Affective functioning of psychopaths and individuals with antisocial personality is mainly characterized by ruthlessness, narcissism, hostility, manipulation, and sensation-seeking (Pauthus and Williams, 2002; Hiatt and Newman, 2007; Lykken, 2007). Data indicate such individuals have more extensively developed representations of hostility, anger, hate, contempt, or violence than love or happiness, in addition to undeveloped schemas of sensitivity, empathy, and mutuality (Blair *et al.*, 1997; Grann, 1998; Beck, 2004).

Dysfunctional affective functioning in individuals with antisocial personality disorder appears to be linked to abnormal neural processing. Studies on brain structure and on functional activity in people with antisocial personality disorder demonstrated significant abnormalities, especially in the prefrontal cortex (i.e., orbitofrontal, dorsolateral frontal, anterior cingulate) (Yang and Raine, 2009). Research on the structure of the prefrontal cortex (PFC) demonstrated a lower volume of grey matter (GM) across the entire PFC region in psychopathic offenders. Interestingly, the "successful" psychopaths and non-psychopaths did not differ in the volume of the GM (Yang *et al.*, 2005). A reduced volume of GM in the lateral and ventral PFC was found in "unsuccessful" psychopaths (Yang *et al.*, 2005). Another study showed reduced cortical thickness in psychopathic adult males (Yang *et al.*, 2009). De Oliveira-Souza *et al.* (2008) found reduced GM volume in the vmPFC (ventromedial prefrontal cortex) and orbitofrontal cortex. These findings are accompanied by others that found reduction of GM volume in the right dorsal ACC (anterior cingulate cortex) and bilateral reduction of the dorsolateral PFC (Müller *et al.*, 2003). Many other studies have confirmed that psychopathy is associated with a GM reduction in the PFC, especially in the vmPFC and ACC (Boccardi *et al.*, 2011; Ermer *et al.*, 2012; Ly *et al.*, 2012). Furthermore, there are multiple studies reporting that subcortical regions are shown to be abnormal in antisocial personality



disorder/psychopathy, such as the hippocampus (Bocardi *et al.*, 2010), insula (de Oliveira-Souza *et al.*, 2008; Ly *et al.*, 2012), and striatum (Buckholtz *et al.*, 2010; Glenn *et al.*, 2010). Psychopathy is also associated with structural abnormalities of the amygdala, with diagnosed psychopaths showing abnormal amygdala size and activity (Dolan and Fullam, 2005; Blair, 2007; Glenn *et al.*, 2009; Yang *et al.*, 2009; Harenski *et al.*, 2010; Ly *et al.*, 2012).

Functional connectivity also appears to be impaired in individuals with antisocial personality disorder, especially between PFC and subcortical regions. Studies show the reduced integrity of the uncinate fasciculus (examination of white matter structure), which is a major tract connecting the PFC with subcortical structures, such as the amygdala (Craig *et al.*, 2009; Motzkin *et al.*, 2011), thus confirming the dysfunction of the PFC in psychopaths. There are many studies which report abnormalities in PFC functioning, especially in regions of the vmPFC and ACC, during emotional processing and emotional regulation in psychopaths (e.g. Gordon *et al.*, 2004; Dolan and Fullam, 2005; Sommer *et al.*, 2010). Not only is the reduced functional connectivity between the vmPFC and amygdala in psychopaths reported (Blair, 2007; Motzkin *et al.*, 2011), but also between the ACC and insula (Ly *et al.*, 2012). Insula is important for emotional processing because it is included in the circuits supporting emotional awareness (Critchley *et al.*, 2004).

In addition to the above structural and functional abnormalities in psychopaths, some data shows there are more impaired brain areas and connections in antisocial personality disorder. Some data present abnormalities in the superior temporal and anterior temporal cortex in psychopaths (Raine *et al.*, 2000; Müller *et al.*, 2008). Other data show that motor cortex excitability (MNS) is related to psychopathic personality traits, especially to the Coldheartedness Scale (Lilienfeld and Andrews, 1996). Individuals with the highest level of cold heartedness displayed the greatest reduction in the amplitude of the mirror neuron system (Fecteau *et al.*, 2008). These results seem to support the thesis that psychopathic individuals only partially possess an ability to understand the affective or sensory state of another person.

All aforementioned brain regions and neural circuits are related to important affective

and cognitive functions. Their importance for social and affective functioning depends mainly on the functional links between the ACC and PFC, and between subregions of the PFC which interact and interconnect with dense reciprocal connections (Ongur and Price, 2000). The ventromedial PFC may underlie aspects of self-processing, such as self-reflection and rumination, which is related to impaired regulation of emotions such as guilt and embarrassment in antisocial personality disorder (Mitchell *et al.*, 2005; Beer *et al.*, 2006; Blair, 2007; Glenn *et al.*, 2009; Qin and Northoff, 2011). The anterior cingulate cortex (ACC) is regarded as the main area related to cognitive and affective mechanisms of motivation such as punishment, reward, pain, empathy, emotional awareness, and cognitive control (Lane *et al.*, 1998; Etkin *et al.*, 2011; Shackman *et al.*, 2011). All dysfunctions in neural mechanisms in psychopaths/antisocial individuals are the bases for impairments in recognizing, differentiating, and analyzing affective information. Individuals with antisocial personality disorder/psychopathy do not display cognitive impairments, but dysfunctional affectivity instead, especially of complex feelings. In turn this results in the difficulties in insight into affective states which can manifest as an inability to take a victim perspective (Hiatt and Newmann, 2007).

Avoidant Personality Disorder and its Neural Correlates

The main characteristic of avoidant personality disorder is hypersensitivity to negative evaluation, excessive fear of rejection, and avoidance in social relationships (Koenigsberg *et al.*, 2014). Little is known about the neural mechanisms underlying these affective patterns in avoidant personality. However, some findings can be referred to the avoidant personality. Decreased insula-dorsal anterior cingulate functional connectivity was shown in social anxiety disorder, but not in avoidant personality disorder (Klumpp *et al.*, 2012). Decreased connectivity between insula and ACC was confirmed when viewing negative pictures (Koenigsberg *et al.*, 2014). In avoidant individuals there was no increased activation in the thalamus, parahippocampal gyrus, ventrolateral prefrontal cortex, dorsal anterior cingulate, and no increase in insula connectivity to the rostral anterior cingulate, posterior cingulate, medial,



and dorsolateral prefrontal cortex, which were concluded as a distinct mechanism behind borderline personality disorder accounting for emotional dysregulation (*ibid.*). Compared to healthy controls, these neural characteristics may be associated with weaker habituation to negative cues, and/or a tendency toward longer rumination of negative emotions in people with avoidant personality disorder. Subsequently, this may be what leads avoidant individuals to display excessive fear, anxiety, and hypersensitivity to negative evaluation.

Schizotypal Personality Disorder's Neural Correlates

Schizotypal personality disorder is defined as a heterogeneous group with interpersonal, perceptual, and disorganized symptoms (Cadenhead *et al.*, 2002). Schizotypal personality disorder comprises three factors: 'cognitive-perceptual' (odd beliefs, perceptual disturbances, idea of reference, suspiciousness), 'interpersonal' (lack of close friendships, social anxiety, restricted affect), and 'disorganized/oddness' (odd speech/thought, odd behavior, and restricted affect) (Hummelen *et al.*, 2012).

Structural and functional abnormalities of the brain in people with schizotypal personality disorder were demonstrated to be related to the aforementioned cognitive, behavioral, and emotional patterns. Functional activity was found to be impaired in those with schizotypal personality disorder, affecting working memory, among other systems. The attenuated working memory-associated activations were observed such as those of the left ventral prefrontal cortex, superior frontal gyrus, intraparietal cortex, and posterior inferior gyrus (Rosell *et al.*, 2014). In addition, decreased activation of the left postcentral cingulate gyrus was found, and deactivation of the superior temporal gyrus, insula, and middle frontal gyrus were attenuated in people with schizotypal personality disorder (Vu *et al.*, 2013). Prospective memory also appears to be impaired in those with schizotypal personality disorder. Studies have shown that individuals with this disorder show lowered activation in prefrontal cortex, which is associated with prospective memory (Wang *et al.*, 2014).

Working memory and context processing have received particular focus in studies with

schizotypal personality disorder, and the impairments of these functions are thought to be associated with abnormalities in the frontal cortex and its connections (Rosell *et al.*, 2014). Current models of working memory for the schizotypal personality disorder involve a hypodopaminergic state within the fronto-cortical regions (*ibid.*). However, data on frontal lobe volume in schizotypal personality disorder are inconsistent. Some parts of the prefrontal areas are increased in size/volume, whereas others are reduced. For example, it has been shown that the size of Brodmann area 10 was increased in schizotypal personality disorder (Takahashi *et al.*, 2011), while volume of Brodmann area 31 was reduced (Hazlett *et al.*, 2008). Smaller ventrolateral prefrontal cortex size in schizotypal personality was also observed (Goldstein *et al.*, 2011). Furthermore, structural abnormalities of the cingulate gyrus were also documented in people with schizotypal personality disorder (Rosell *et al.*, 2014). Volumes of the right and left caudate nuclei were smaller in subjects with schizotypal personality disorder than in controls (Levitt *et al.*, 2002). Moreover, an inverse correlation was found between volumes of caudate nucleus and working memory in schizotypal disorder, which is interpreted as cognitive psychopathology associated with structural deficits (*ibid.*). The right and left caudate nuclei volumes were found to be smaller in females with schizotypal personality disorder than in controls, and smaller caudate nuclei were associated with impaired cognitive performance (Koo *et al.*, 2006). Increased putamen volumes in the ventral and dorsal parts were also shown in people with schizotypal disorder (Chemerinski *et al.*, 2013). Furthermore, a reduction in temporal lobe volumes were found in schizotypal personality, especially of the left superior temporal gyrus, middle temporal gyri, fusiform, but not inferior temporal gyrus (Takahashi *et al.*, 2010; Takahashi *et al.*, 2011). This may result in the impairment of auditory and language-related processes such as poorer logical memory in schizotypal personality disorder, odd speech, and cognitive-perceptual symptoms (Rosell *et al.*, 2014).

Resistance to Treatment due to Neurobiological Mechanisms

Personality dimensions and personality disorders are said to be stable across time and dependent on neurobiological factors (Gardini *et al.*, 2009). Personality traits represent tendencies to manifest particular patterns of emotion, cognition, motivation, and behavior, and these tendencies are thought to arise from regularities in the functioning of the relevant brain systems (De Young *et al.*, 2010). Personality traits are associated not only with activation of brain regions, but also with the variation of the structure of brain. For example, Conscientiousness and Openness have been linked to variation in lateral prefrontal cortex (De Young *et al.*, 2010; De Young, 2010). The structural variations in volume of brain regions were found as associated with the Big Five factors. Personality factors reflect structural variance in specific brain areas (*ibid.*). At the neural level, Extraversion is determined by cortical arousal system modulated by reticulothalamic-cortical pathways, AC and dlPFC form part of a general cognitive arousal system (Duncan and Owen, 2000). Increased cingulate activity in extraverts was documented (Haier *et al.*, 1987), a negative relationship between Extraversion in Wernicke's and Broca's areas was found, and interpreted as increased self-talk in introverts (Kumari *et al.*, 2004). Several subcortical areas, most notably amygdala, caudate, and the putamen in extraverted people, correlated with an increased activation response to positive stimuli (Canli *et al.*, 2001). Another example, mindfulness, is associated with more efficient PFC inhibition of amygdala responses during affect labeling (Creswell *et al.* 2007). Mindfulness correlated negatively with depression, trait anxiety, neuroticism, and psychological distress (*ibid.*). Dispositional mindfulness involves activation in the medial prefrontal cortex, ventrolateral prefrontal cortex, and ventromedial prefrontal cortex (Creswell *et al.*, 2007). All these associations between personality traits and neural correlates are explained in terms of specific neuro-morphological characteristics resulting from repetitive behaviors and environmental exposures in the course of one's life (Gardini *et al.*, 2009). Similar to personality dimensions,

associations of personality disorders with neural mechanisms would be the result of repeated behaviors, genetic factors, and environmental exposures. Psychological experience and behaviors have a profound effect on biological nature by changing brain function and its structure. All the above-mentioned findings confirm this, and show how challenging the treatment of personality disorders can be. Every change in behavior, emotionality, or impaired cognition in individuals with personality disorders implies inherent neurobiological change. Those changes can be achieved through long-term treatment including psychotherapy, like cognitive-behavioral therapy, which is thought to be particularly beneficial. The APA recommends a combination of psychotherapy and medication as the optimal approach to treat personality disorders (Tyrer and Bateman, 2004). Positive effects of psychotherapy on the brain is well established (Gabbard, 2005). Medication may also be of value, and antidepressants, mood stabilizers, and/or antipsychotics may facilitate psychotherapy of certain personality disorders such as borderline personality disorder (Tyrer and Bateman, 2004; Paris, 2011). However, it should also be noted that medication may also have negative effects on the brain structure/physiology in patients with personality disorders and may result in acute and/or chronic side effects or dependency (Herpertz *et al.*, 2007).

Conclusion

All described findings on neural mechanisms underlying personality disorders show that personality disorders are deeply rooted in neural mechanisms. Because of this, patients with personality disorders are uncompromising in their behavior, emotion, and cognition. This presents a challenge for their treatment as they are resistant to change, though long-term treatment can have positive effects. It is a two-sided process in that biology determines psychology, and psychology can drive biological change. Such biological change can only be achieved with longer-term treatment. Hence, the current neurobiological findings suggest that personality disorders are best understood and treated without dichotomies between the "brain and mind" (Gabbard, 2005, p. 654).

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