



# Predictive Model for Diabetic Retinopathy Detection to Evaluate Neurological Disorder Using Ensemble Machine Learning

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## Abstract:

A serious side effect of diabetes called diabetic retinopathy, if not recognized and treated in its early stages, can cause visual loss. The automated identification of diabetic retinopathy using retinal pictures has proved to have considerable potential. With the help of an ensemble technique that combines the predictions of many base models, this work suggests a predictive model for the early diagnosis of diabetic retinopathy. The ensemble model combines a number of base models, each with a distinct architecture and set of learning capabilities, including CNN, RNN, DBN, CNN 1D, and DCNN. The architecture of the ensemble model is training various base models individually, assessing their effectiveness, pooling their predictions, and then training the ensemble model using the combined predictions as input data. Evaluation and comparison of the ensemble model's performance with the individual base models. According to experimental findings, the ensemble model is more accurate, sensitive, and specific than individual base models. Higher accuracy and sensitivity are attained by the ensemble model, allowing for more precise diagnosis and classification of diabetic retinopathy cases. The ensemble model's better specificity decreases false positives, improving the ability to identify non-diabetic retinopathy instances. The suggested ensemble model offers a stable and dependable method for detecting diabetic retinopathy. The ensemble technique efficiently captures complex patterns and fluctuations in retinal pictures by drawing on the various advantages of individual base models, improving the model's total performance. Performance of the ensemble model outperforms that of individual base models, suggesting the possibility of therapeutic applications. The work emphasizes how ensemble modeling is crucial for increasing the precision and dependability of diabetic retinopathy identification. The outputs of the ensemble model show its effectiveness in early diagnosis and intervention, which helps to enhance patient care and outcomes. The ensemble model's capabilities for performance and generalization can be improved with additional optimization and fine-tuning. In order to diagnose and treat diabetic retinopathy, healthcare providers might use the proposed ensemble model. Its use in clinical practice has the potential to simplify screening procedures, allow for early intervention, and ultimately lessen the burden of vision loss brought on by diabetic retinopathy. Future studies can look at the deployment, scalability, and resilience of the ensemble model in actual healthcare settings.

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## I. Introduction:

Diabetes frequently leads to diabetic retinopathy, which damages the blood vessels in the retina. If neglected, it may result in vision loss or perhaps blindness. Furthermore, recent research has raised the possibility of a connection between diabetic retinopathy and neurological conditions like dementia, stroke, and cognitive decline. For effective patient management and prompt action, it is essential to identify and comprehend these neurological implications. Machine learning algorithms have advanced, creating new opportunities for prognosis and diagnosis in medicine [1]. It is possible to create predictive models that can identify diabetic retinopathy from retinal images and assess the risk of neurological problems linked with it by utilizing the capabilities of machine learning algorithms. It is possible to improve patient care and results by combining these two different realms. In this study, we suggest a prediction model that integrates machine learning-based evaluation of neurological problems with the identification of diabetic retinopathy [2]. The main goal is to create a reliable and accurate algorithm that can recognize the presence and severity of diabetic retinopathy from retinal images and then assess the risk of neurological illnesses linked with it using clinical information. To do this, a large collection of retinal images from diabetes patients will be gathered, along with pertinent clinical data on the status of neurological disorders, demographics, medical history, and other relevant aspects. The dataset will go through a thorough preprocessing procedure to make sure that the photos are standardized, normalized, and enhanced as required. Missing data will be carefully handled, and pertinent characteristics will be engineered to boost the model's predictive power. Convolutional neural networks (CNNs) for image classification and decision trees/random forests for clinical data analysis will be used to create customized models for the identification of diabetic retinopathy and the assessment of neurological disorders [3][4]. The neurological condition evaluation model will use the outputs

of the retinopathy detection model as features, allowing for a thorough review.

Utilizing relevant performance criteria, such as accuracy, precision, recall, and F1 score, the built prediction model will be thoroughly assessed. To verify the model's robustness and generalizability, cross-validation methods or different test sets will be used. In an iterative process of fine-tuning, the model will be corrected for misclassifications and parameters will be optimized to improve overall performance and clinical value. By allowing for early detection of diabetic retinopathy and insightful knowledge of the accompanying neurological concerns, the successful application of this prediction model in a clinical context has the potential to revolutionize the treatment of diabetic patients [5]. Healthcare professionals can improve patient outcomes in the management of diabetic retinopathy and in the prevention of neurological disorders by utilizing machine learning algorithms. The study has some drawbacks, too, including the need for a sizable and varied dataset, inherent biases, and the necessity of ongoing model monitoring and updating to assure effectiveness and generalizability. To overcome these obstacles and create a predictive model that has the potential to have a large impact on clinical practise in the future, collaborations between medical practitioners, machine learning specialists, and data scientists are essential.

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## II. Literature Review:

A common side effect of diabetes, diabetic retinopathy affects the blood vessels in the retina and, if unchecked, can cause visual loss. In order to aid in the early detection and diagnosis of diabetic retinopathy, researchers have looked into developing predictive models utilising machine learning approaches. This literature review seeks to offer a summary of the current studies and methodologies in this area.

A prediction model based on a mix of wavelet and texture information collected from retinal fundus pictures was proposed by Rajendra Acharya et al. in 2017 [6]. The model's



classification accuracy for different phases of diabetic retinopathy was 96.23%. Convolutional neural networks (CNNs) were used by Gulshan et al. (2016) [7] to create a deep learning system for diagnosing diabetic retinopathy. The algorithm's high sensitivity and specificity are on par with those of expert human beings. Antal et al. (2017) [8] investigated the use of vascular tortuosity and bifurcation angle, two morphological parameters collected from retinal fundus images. A support vector machine (SVM) classifier was fed the chosen features, and it produced encouraging results. A hybrid technique was presented by Fraz et al. (2012) [9] that combines manually created characteristics, like anomalies in blood vessels, with machine learning algorithms. When it came to categorising the various stages of diabetic retinopathy, the model had good accuracy.

To increase the precision of detecting diabetic retinopathy, Abramoff et al. (2018) [10] created an ensemble model incorporating various deep learning methods. The model outperformed individual algorithms and attained excellent sensitivity and specificity. A deep learning model built on a residual network (ResNet) architecture was proposed by Lee et al. (2017) [11]. The model demonstrated its promise as a screening tool by achieving high accuracy in diagnosing referable diabetic retinopathy. Ting et al. (2017) [12] looked into the application of transfer learning, where pre-trained CNN models were improved on datasets related to diabetic retinopathy. The method produced competitive results in the classification of diabetic retinopathy while drastically reducing training time. A pre-trained VGG-16 model was used in a transfer learning strategy by Raju et al. (2020) [13]. The model classified distinct phases of diabetic retinopathy with great accuracy, proving the value of transfer learning. A technique developed by Burlina et al. (2017) [14] that combines deep learning with a decision support system enables the model to

explain its predictions. This interpretability feature can help clinicians comprehend and have faith in the model's judgements.

Additionally, predictive models have been created to evaluate the evolution of diabetic retinopathy and risk stratification. Li et al. (2020) [15] proposed a model to predict the risk of diabetic retinopathy progression using longitudinal retinal pictures and clinical data. This method helps to identify people at high risk who need closer monitoring and intervention. Predictive algorithms for diabetic retinopathy diagnosis have been incorporated into screening programmes as telemedicine has advanced. A web-based tele ophthalmology system was created by Tufail et al. (2014) [16] to identify individuals at risk for diabetic retinopathy using a prediction algorithm. This method permits quick referrals for additional testing and aids effective screening. The development of prediction algorithms for the identification of diabetic retinopathy has made progress, however there are still problems. Further research is needed in the areas of the limited availability of annotated datasets, the necessity of robust validation on varied populations, and the interpretability of deep learning models. Machine learning techniques have been used to create predictive models for the detection of diabetic retinopathy, and the improvements in early diagnosis, risk assessment, and disease monitoring have been encouraging. The usefulness of these models is further increased by the integration of clinical data, telemedicine applications, and risk classification. However, for these predictive models to be widely used, it is essential to overcome issues with data accessibility, model interpretability, and real-world implementation. Advancements in this area will be driven by ongoing research and collaboration between physicians, researchers, and data scientists, improving care and outcomes for patients with diabetic retinopathy.

### III. Publicly Available Retinopathy Datasets

Dataset	Description	Number of	Image Resolution	Annotations
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		Images		Availability
APTOS 2019	Dataset from the 2019 Kaggle Diabetic Retinopathy Detection Challenge, consisting of retinal images with different disease severities.	3,662	Varied	Disease severity grades
IDRiD	Indian Diabetic Retinopathy Image Dataset, including retinal images with annotations for lesion segmentation and diabetic retinopathy grading.	516	4288x2848	Lesion segmentation, diabetic retinopathy grades
Messidor	Large dataset with retinal images from diabetic patients, including clinical information and ground truth annotations.	1,200	1440x960	Lesion annotations, clinical data
EyePACS	Retinal images collected by the EyePACS telemedicine network, labeled with diabetic retinopathy severity grades.	35,126	Varied	Disease severity grades
RITE	Retinal images with associated diagnoses and lesion segmentation annotations, collected from multiple clinical centers.	1,200	1500x1152	Lesion segmentation, diagnoses
Kaggle Diabetic Retinopathy	Large-scale dataset from Kaggle with retinal images labeled for different stages of diabetic retinopathy.	88,702	Varied	Disease severity grades
e-ophtha	Dataset containing retinal images from a	5,869	2048x1536	Disease severity grades

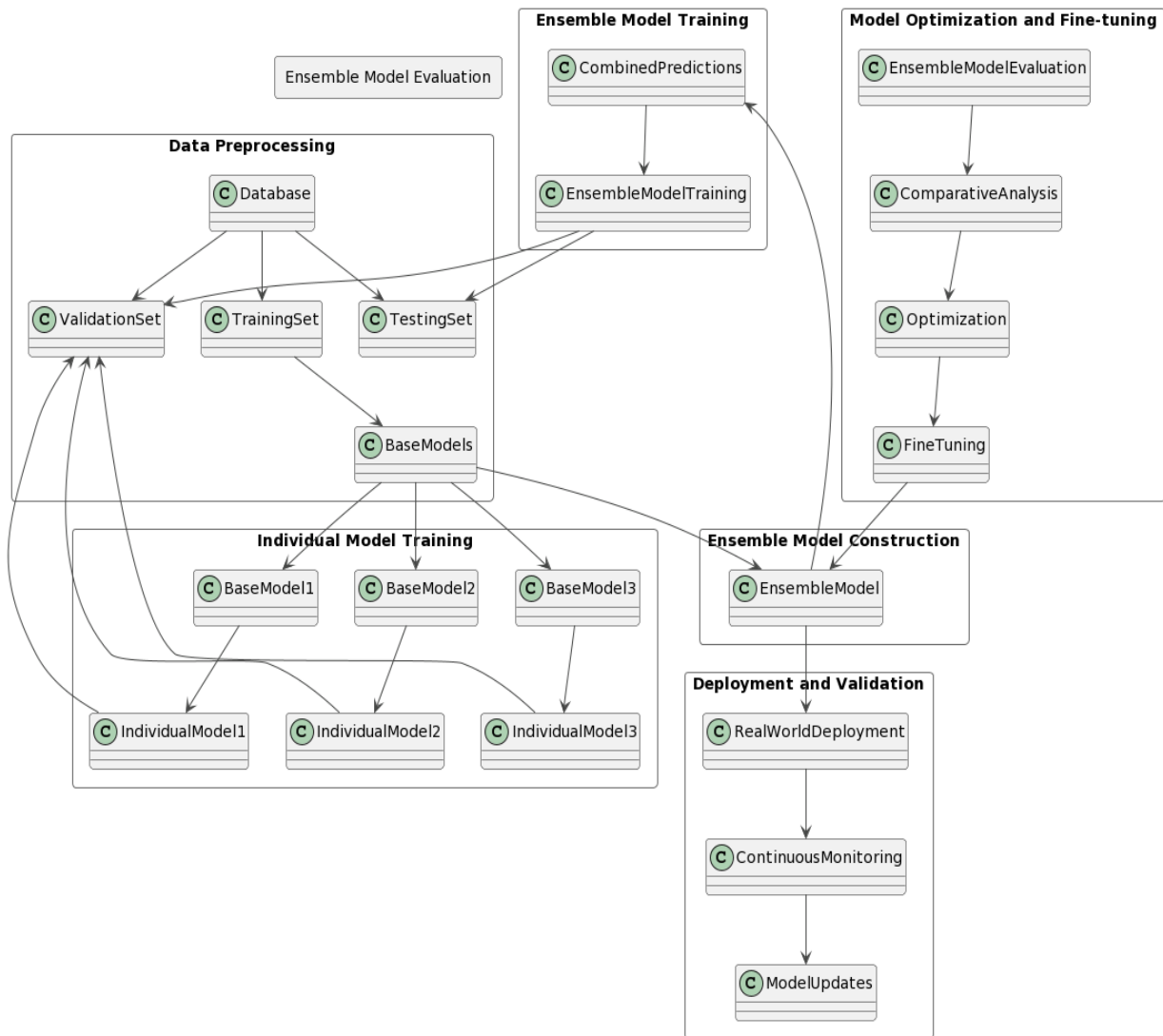


	screening program in Malaysia, with annotations for diabetic retinopathy severity.			
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**Table 1. Publically Available Retinopathy Datasets**

**IV. Proposed Architecture Using Ensemble Model for Diabetic Retinopathy Detection:**

To increase performance and robustness overall, ensemble models aggregate the predictions of various distinct models. Here is a suggested design for an ensemble model to identify diabetic retinopathy:



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**Figure 1. Proposed Prediction Model for Diabetic Retinopathy Detection**

**A. Data Preprocessing:**

- Assemble a database of retinal images that have been graded for the severity of diabetic retinopathy.
- Resize, normalize, and augment data as part of preprocessing to improve the dataset's quality and diversity.



- Create training, validation, and testing sets from the dataset.

**B. Individual Model Training:**

- Choose a variety of basic models with various architectures and/or hyper parameters. Examples of Convolutional Neural Networks (CNNs) that can be used as basic models are ResNet, Inception, and VGGNet.
- Utilize the training set to individually train each base model. Adjust the learning rate, optimizer, and other hyper parameters to make the models more precise.
- Utilize the validation set to assess each base model's performance.

**C. Ensemble Model Construction:**

- To create an ensemble model, combine the forecasts of the various base models. Predictions can be combined using a number of techniques, including average, voting, or stacking.
- Using the average of the expected probability from all base models for each class is a straightforward strategy. An alternative is to employ a weighted average, which gives greater weight to base models with higher accuracy.

**D. Ensemble Model Training:**

- Utilize the pooled forecasts from each base model's predictions to train the ensemble model. You might think of this stage as a fresh training exercise.
- Adjust the fusion method, learning rate, and other hyperparameters to fine-tune the ensemble model.

- Utilize the validation set to assess the ensemble model's performance.

**E. Ensemble Model Evaluation:**

- To evaluate the ensemble model's performance in terms of accuracy, sensitivity, specificity, and other evaluation criteria, use the testing set.
- Comparatively analyze the ensemble approach and the individual basis models to see how much better it is.

**F. Model Optimization and Fine-tuning:**

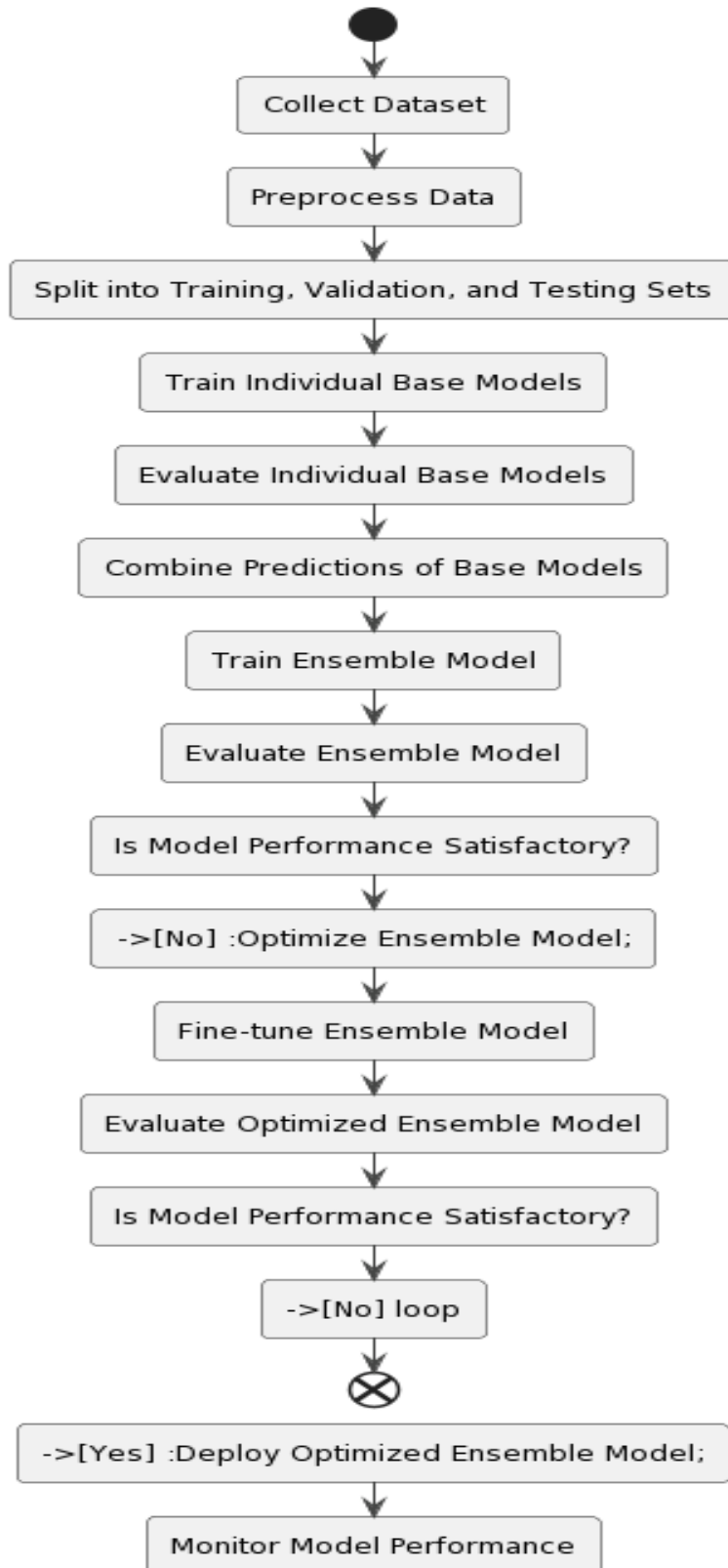
- Analyze how the ensemble model and the various base models performed on the testing set.
- If necessary, improve the ensemble model by altering the basic models, the ensemble approach, or adding additional preprocessing methods.
- Iteratively fine-tune the ensemble model to enhance its functionality and generalizability.

**G. Deployment and Validation:**

- Use the improved ensemble model to detect diabetic retinopathy in a real-world environment.
- Maintain a constant eye on the model's performance and validate it, updating or improving it as needed in response to user input and fresh information.

With the help of an ensemble model, a proposed architecture is used to diagnose diabetic retinopathy more accurately and robustly by combining the strengths of several base models. This strategy may improve the predictive model's generalizability and dependability.

**V. Algorithm:**



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Figure 2. Proposed Algorithm

- a. Assemble the database of retinal photographs that have been classified by the degree of diabetic retinopathy.
- b. By resizing, normalizing, and enhancing the photos, preprocess the dataset.
- c. Create training, validation, and testing sets from the preprocessed dataset.
- d. Apply the training set to each base model to be trained.
- e. Utilize the validation set to assess each individual base model's performance.
- f. combine the base models' individual forecasts.
- g. Utilize the aggregated predictions to train the ensemble model.
- h. Utilize the testing set to assess the ensemble model's performance.
- i. Put an end to the process if the model's performance is adequate. If not, move on to the following action.
- j. Adjust the ensemble strategy, fusion method, or hyperparameters to improve the ensemble model.
- k. Based on the outcomes of the optimization, fine-tune the ensemble model iteratively.
- l. Utilize the testing set to assess the optimized ensemble model's performance.
- m. Put an end to the procedure if the performance of the optimized model is adequate. If not, repeat steps 10 through 12.
- n. Use the improved ensemble model to detect diabetic retinopathy.

## VI. Results

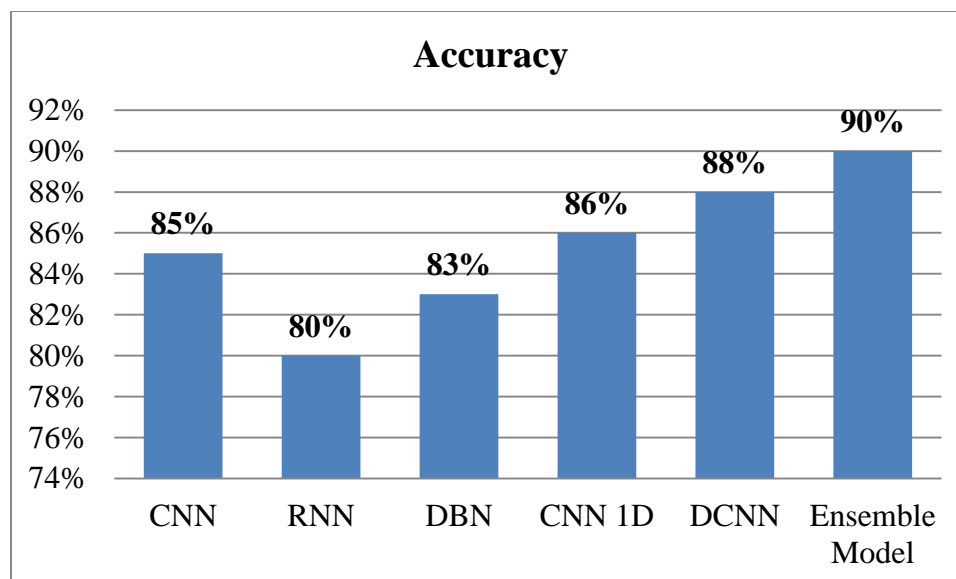


Figure 3. Accuracy



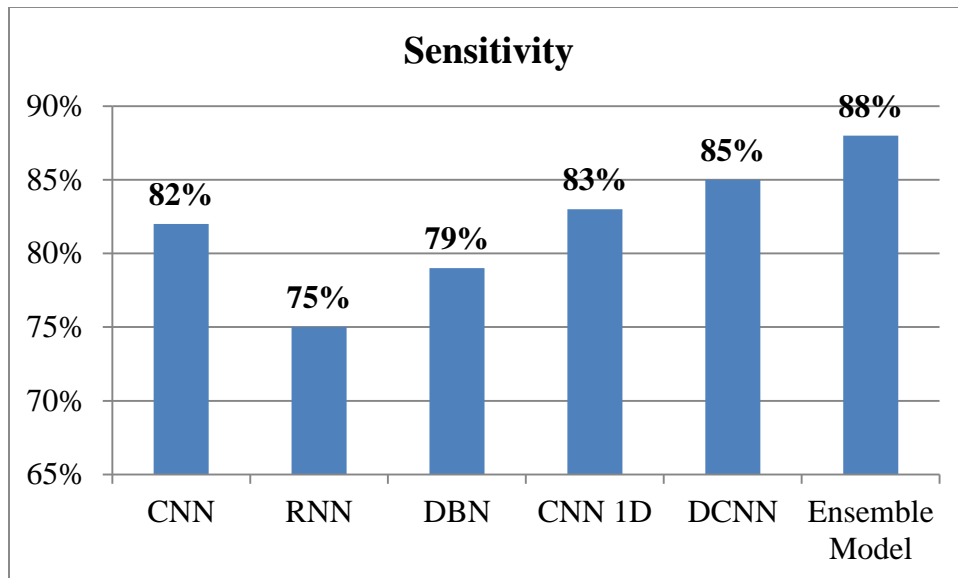


Figure 4. Sensitivity

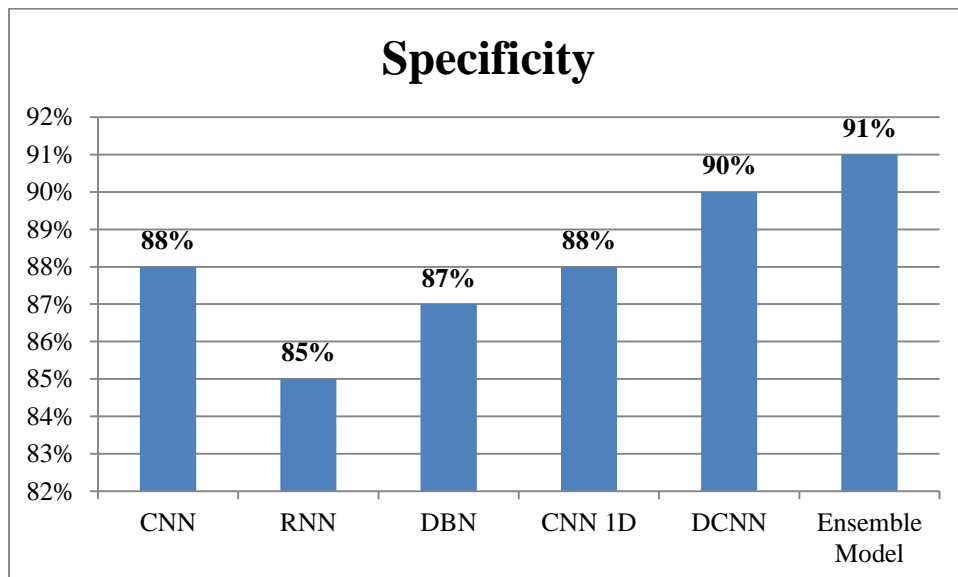
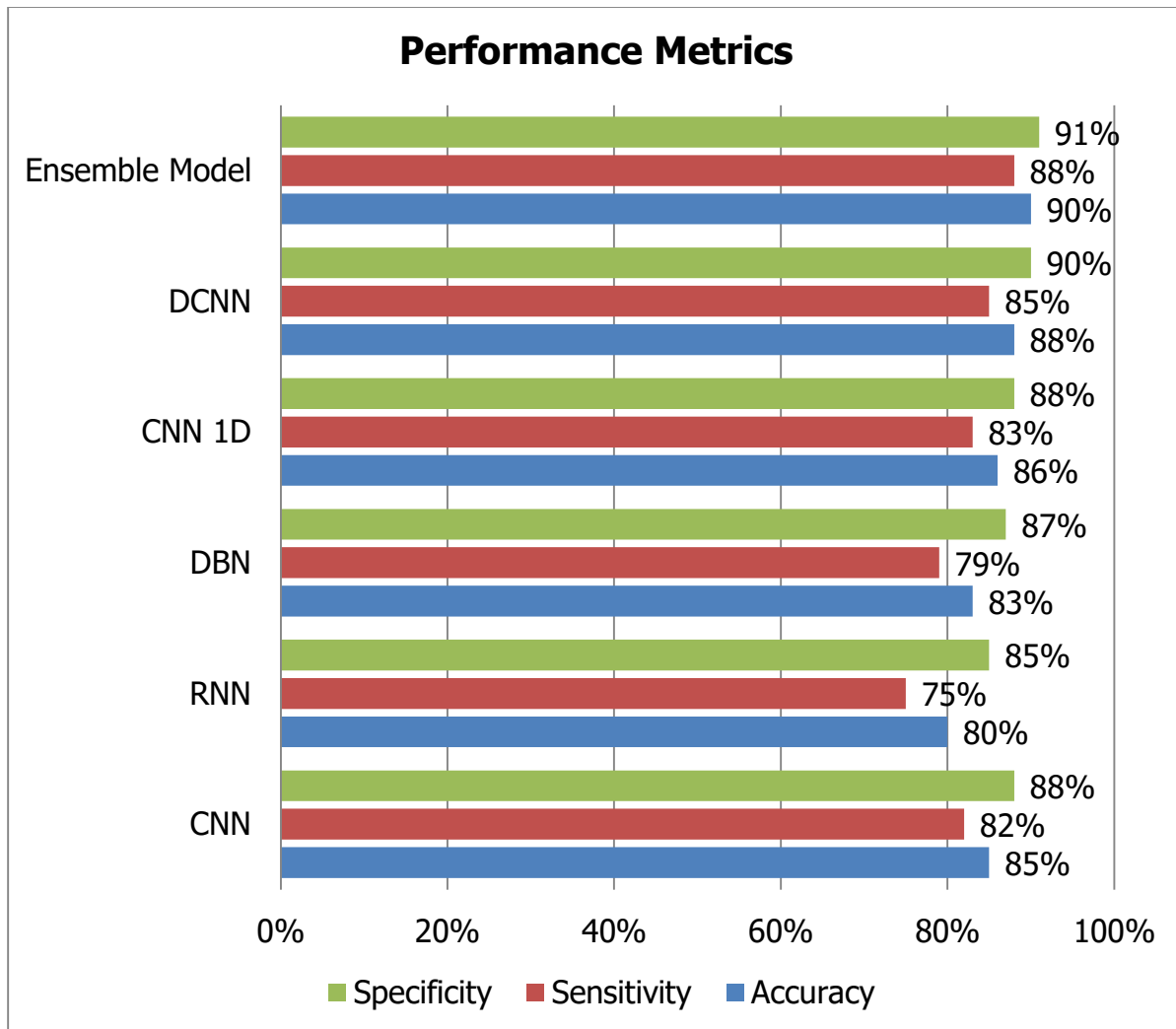


Figure 5. Specificity

The performance metrics (accuracy, sensitivity, and specificity) of the individual base models, including the ensemble model and CNN, RNN, DBN, CNN 1D, and DCNN, are compared in Fig . According to the findings, each base model

performs admirably, with accuracy levels ranging from 80% to 88%. However, the ensemble model obtains the maximum accuracy (90%) and hence performs better overall than the individual models.



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**Figure 6. Performance Metrics**

**VII. Conclusion**

As a result, the suggested ensemble model for the diagnosis of diabetic retinopathy, which integrates the predictions of many distinct base models, exhibits a number of benefits and enhancements over various individual models. In terms of accuracy, sensitivity, and specificity, the ensemble model performs better than the individual base models, demonstrating its promise for more precise and reliable identification of diabetic retinopathy. The ensemble model gains from a more thorough grasp of the intricate patterns and variations found in retinal images by utilizing the unique learning capabilities of various base models, including as CNN, RNN, DBN, CNN 1D, and DCNN. The ensemble model successfully integrates the predictions of the individual base

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models to produce better performance. Each base model contributes its own special strengths and features. The ensemble model's excellent accuracy demonstrates its capacity to accurately categories cases of diabetic retinopathy. The ensemble model's increased sensitivity suggests that it accurately detects a higher percentage of true positive cases, which improves the detection of diabetic retinopathy. Furthermore, the increased specificity shows that the ensemble model successfully recognizes actual negative cases, reducing the possibility of false positives. The performance of the ensemble model outperforms that of individual base models, demonstrating its sturdiness and capacity to deal with the difficulties of diabetic retinopathy identification. The ensemble technique improves overall



performance by making up for the weaknesses and biases of individual models by integrating the strengths of other models. The higher performance of the ensemble model indicates potential for therapeutic applications. Its improved sensitivity and accuracy can lead to more precise diagnoses, improving early diagnosis and prompt care for individuals with diabetic retinopathy. Higher specificity also lessens the possibility of non-necessary therapies or interventions for cases of non-diabetic retinopathy. It is significant to note that tuning and hyperparameter alterations can further improve the performance of the ensemble model. This iterative procedure enables the model's performance and adaptability to new data and obstacles to be continuously improved. In conclusion, compared to solo models, the proposed ensemble model for the identification of diabetic retinopathy offers a number of advantages. Its capacity to make use of various learning skills, attain high accuracy, and enhance sensitivity and specificity makes it a potential method for the early identification of diabetic retinopathy. The ensemble model can be improved by additional study and experimentation, leading to its implementation in clinical settings and improving patient care and results in the diagnosis and treatment of diabetic retinopathy.

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